

Summary

We introduce **Non-Parametric Transformers** (NPTs). NPTs ...

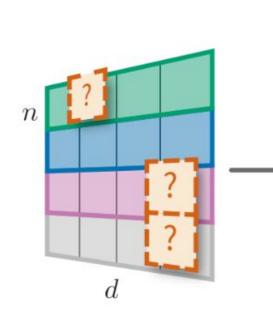
... take the **entire dataset as input**. (We approximate this with minibatches for large datasets.) ... use multi-head self-attention to **predict from relationships between datapoints**. ... rely on (stochastic/deterministic) **masking** to form a reconstruction loss objective. ... can be used for class./regression/missing data/self- and semi-supervised and transductive learning.

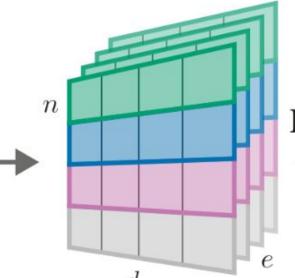
Experimentally, NPTs ...

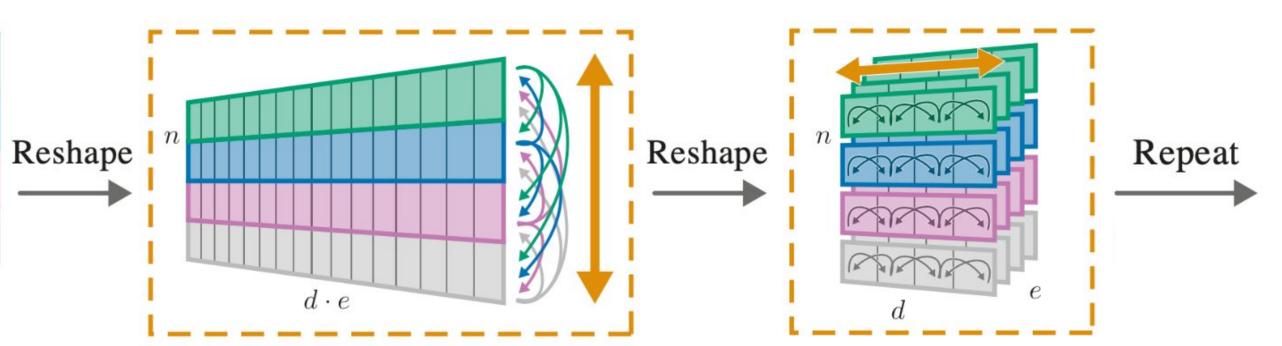
... show strong performance on tabular datasets, and promising performance for image classification.

- ... learn to rely on other datapoints for prediction.
- ... can solve complex **reasoning tasks**.

Non-Parametric Transformers







Model Architecture

- **Goal:** predict **p(X^M | X^O)**
- **Input:** entire dataset **X**, binary masking matrix **M**
- **Embedding:** per-attribute linear embedding, applied independently to each datapoint

Properties

Learns complex relationships between datapoints Learns transformations of individual datapoints **Equivariant to a permutation** of the datapoints

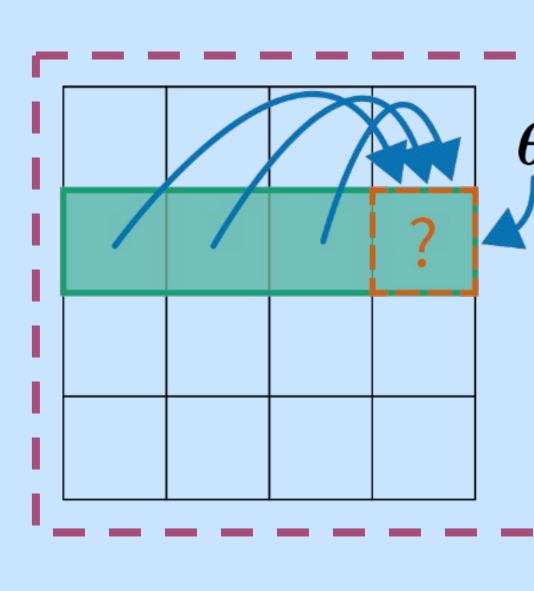
• Multi-Head Self-Attention: applied between datapoints and independently between attributes of each datapoint (standard attention)

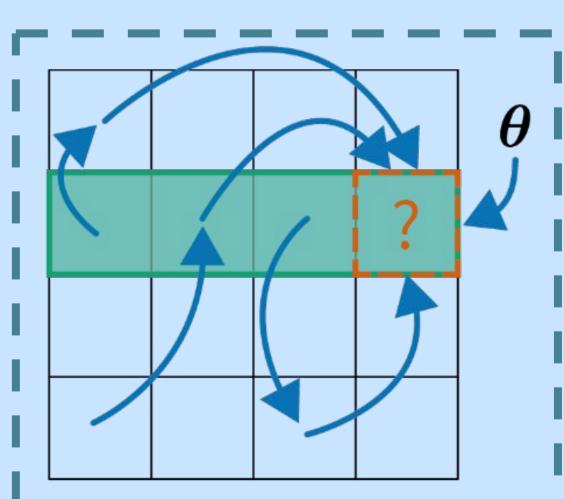


Take targets of training datapoints as input



Regularizer





In practice, they learn to rely on non-parametric mechanisms, achieving SOTA performance on tabular datasets.

Tabular Data Experiments

Average Rank Performance

Binary Classification

Method	AUROC	Method	Accuracy
NPT	2.50 ± 0.87	NPT	2.50 ± 0.50
CatBoost	2.75 ± 0.85	XGBoost	$\textbf{2.50} \pm \textbf{1.50}$
LightGBM	3.50 ± 1.55	MLP	3.00 ± 2.00
XGBoost	4.75 ± 1.25	CatBoost	3.50 ± 0.50
Gradient Boosting	5.00 ± 0.71	Gradient Boosting	3.50 ± 1.50
MLP	5.75 ± 1.49	Random Forest	6.50 ± 0.50
Random Forest	6.00 ± 0.71	TabNet	7.50 ± 0.50
TabNet	6.50 ± 1.32	LightGBM	7.50 ± 1.50
k-NN	8.25 ± 0.48	k-NN	8.50 ± 0.50

Permutation Test of Non-Parametricity

Δ Accuracy	CIFAR-10	Poker	Income	Higgs	MNIST	Forest	Kick	Breast Cancer
	-1.2	-1.1	-1.1	-0.5	-0.4	-0.1	-0.1	0.0
$\Delta RMSE/RMSE$ (%)	Yacht	Protein	Boston	Concrete				
	-52%	-21%	-20%	-7%				

Most of deep learning relies on parametric prediction. But what if it didn't?

Non-Parametric Transformers can be parametric and/or non-parametric.

Multi-Class Classification

Method	RMSE				
CatBoost	3.00 ± 0.91				
XGBoost	3.25 ± 0.63				
NPT	3.25 ± 1.31				
Gradient Boosting	4.00 ± 1.08				
Random Forest	4.50 ± 0.87				
MLP	5.00 ± 1.22				
LightGBM	6.50 ± 1.55				
TabNet	6.75 ± 0.95				
k-NN	8.75 ± 0.25				

Regression

Semi-Synthetic Experiments

